



PATENT
010520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2168

Examiner: Greta Lee Robinson

METHODS AND SYSTEMS
FOR AUTOMATED DATA
COLLECTION AND ANALYSIS
FOR USE IN ASSOCIATION WITH
ASSET SECURITIZATION

In re Application of: Donald P. Coleman

Serial No. 09/998,152

Filed: November 30, 2001

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

June 12, 2007

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08A *Information Disclosure Statement by Applicant* (Substitute for Form-1449A/PTO). With the exception of the United States patents and

06/14/2007 AAD0F01 00000004 09998152
01 FC:1806 180.00 OP

published application, copies of the references cited on the *Information Disclosure Statement* by *Applicant* are enclosed.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced patent application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* by *Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,



Roberto Capriotti
Reg. No. 46,599
Attorney for Applicant

KIRKPATRICK & LOCKHART PRESTON GATES ELLIS LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, Pennsylvania 15222-2312

Telephone: (412) 355-6423
Fax: (412) 355-6501
E-Mail: roberto.capriotti@klgates.com

Customer No. 26285



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/998,152</td> </tr> <tr> <td>Filing Date</td> <td>November 30, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Donald P. Coleman</td> </tr> <tr> <td>Art Unit</td> <td>2168</td> </tr> <tr> <td>Examiner Name</td> <td>Greta L. Robinson</td> </tr> </table>		Application Number	09/998,152	Filing Date	November 30, 2001	First Named Inventor	Donald P. Coleman	Art Unit	2168	Examiner Name	Greta L. Robinson
Application Number	09/998,152														
Filing Date	November 30, 2001														
First Named Inventor	Donald P. Coleman														
Art Unit	2168														
Examiner Name	Greta L. Robinson														
Sheet	1	of	2	Attorney Docket Number											

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				Complete if Known	
				Application Number	09/998,152
				Filing Date	November 30, 2001
				First Named Inventor	Donald P. Coleman
				Art Unit	2168
				Examiner Name	Greta L. Robinson
(use as many sheets as necessary)					
Sheet	2	of	2	Attorney Docket Number	010520

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		"Defining Business Rules ~ What Are They Really?" printed from http://web.archive.org/web/20010304205239/http://businessrulesgroup.org/first_paper/bro01cl.htm , Internet site, accessed on 6/11/03, 33 pages.	
		Search Report on word mark "Enterprise," printed from http://tess.uspto.gov/bin/showfield?f=doc&state=rm36rm.5.1 , Internet site, accessed on 9/10/02, 2 pages.	
		"ZENetics company & product information," brochure, April, 2001.	
		ZENetics, dual-sized, tri-fold brochure, Arlington, Texas, 2 pages.	
		"Business rules and mortgage banking," printed from http://www.astera.com/CentMortgWP.html , Internet site, accessed on 9/10/02, 4 pages.	
		Astera, "centerprise™ business rules primer," printed from www.astera.com , Internet site, March 2000, 5 pages.	
		"Enterprise Loan Management System" (CD-ROM) December, 2001	
		United States Patent Application No. 10/336,501, filed January 3, 2003. (Attorney Docket No. 010642)	
		inventorying. (n.d.). The American Heritage® Dictionary of the English Language, Fourth Edition. Retrieved June 12, 2007, from Dictionary.com website: http://dictionary.reference.com/browse/inventorying	

Examiner	Date
----------	------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.